



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Secfor

In Application of

Richard C. SPAGNA, et al.

Serial No. 09/711,747

Filed: November 13, 2000

} Docket No. SOM920000016US1

} Group Art Unit: 2161

} Examiner: (not yet assigned)

For: *UPDATING USAGE CONDITIONS IN LIEU OF DOWNLOAD
DIGITAL RIGHTS MANAGEMENT PROTECTED CONTENT*

TRANSMITTAL LETTER

Assistant Commissioner for Patents
Box Missing Parts
Washington, D.C. 20231

Sir:

Responsive to the Notice to File Missing Parts of Application under 37 CFR 1.53(d) mailed February 20, 2001, attached hereto are the following to complete the formal filing requirements in the above-identified application:

XX Copy of Notice to File Missing Parts of Application (PTO-1533) mailed February 20, 2001.

XX Declaration and Power of Attorney.

XX Request for Corrected Filing Receipt and copy of Filing Receipt (with corrections).

XX Assignment recordation sheet with Assignment to International Business Machines Corporation.

XX The Commissioner is authorized to charge payment of the \$870.00 filing fees, \$130.00 late surcharge fee, and \$40.00 assignment recordation fee to Deposit Account No. 09-0459. The Commissioner is authorized to charge any additional fees or credit any overpayment to Deposit Account No. 09-0459.

Respectfully submitted,

Date: 4/3/01

By: [Signature]
Jon A. Gibbons
Reg. No. 37,333

FLEIT, KAIN, GIBBONS,
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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: ASSISTANT COMMISSIONER FOR PATENTS, BOX MISSING PARTS, WASHINGTON, D. C. 20231.

Karen Taragowski
Name of Person Mailing Paper

[Signature]
Signature of Person Mailing Paper

4/4/01
Date of Deposit



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of : Docket No. SOM920000016US1
Richard C. SPAGNA, et al. : Group Art Unit: 2161
Serial No. 09/711,747 : Examiner: (Not assigned yet)
Filed: November 13, 2000 :

For: *UPDATING USAGE CONDITIONS IN LIEU OF DOWNLOAD
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REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents
Washington, D.C. 20231

**ATTN: Application Processing Division's
Customer Correction Branch**

Customer Correction:

It is respectfully requested that a corrected Filing Receipt be issued in connection with the above-identified application, in order to correct the following:

In the Applicant(s) section of the Filing Receipt, change "Bica" to --Boca--. Also in the Applicant(s) section of the Filing Receipt, change "Zhao Ting" to --Ting Zhao--. A copy of the official filing receipt with the changes in red is also attached.

Kindly forward the corrected Filing Receipt to the undersigned attorney of record.

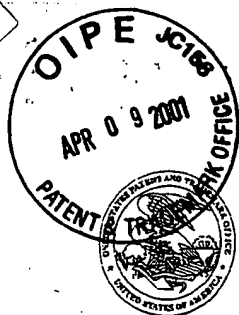
Respectfully submitted,

Date: 4/3/01

By: 

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/711,747	11/13/2000	2161	0	SOM920000016US1	24	17	5

23334
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FILING RECEIPT



OC000000005777981

Date Mailed: 02/20/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Marco M. Hurtado, Boca Raton, FL ;
Paul R. Rettig, Residence, XPX ;
Richard L. Spagna, Boca Raton, FL ;
Zhao Ting, Residence, XPX ;

Ting Zhao

Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CIP OF 09/376,102 08/17/1999
WHICH IS A CIP OF 09/177,096 10/22/1998
WHICH IS A CIP OF 09/133,519 08/13/1998 ABN

Foreign Applications

If Required, Foreign Filing License Granted 02/17/2001

Title

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Preliminary Class

705

Received

FEB 22 2001

Fleit, Kain, Et Al

Data entry by : GARNETT, SANDRA

Team : OIPE

Date: 02/20/2001





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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/711,747	11/13/2000	Marco M. Hurtado	SOM920000016US1

23334

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FORMALITIES LETTER



OC000000005777982

Date Mailed: 02/20/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$160.
 - \$160 for 2 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1000.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/10/2001	MBERHE	00000096	090459	09711747
01	FC:101	710.00	CH	
02	FC:105	130.00	CH	
03	FC:102	160.00	CH	